# Formulation of Approximate, Generalized Field Data Based Mathematical Models, and Its Reliability Evaluation, Optimization and Sensitivity Analysis for PVC Manufacturing Process

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Abstract—This paper describes an approach for formulation of approximate, generalized field data based mathematical model (FDBM) for the process of PVC pipe manufacturing at some industries. The present work is aimed at establishing mathematical relationship between the responses and inputs at the operation of PVC pipe manufacturing process using single screw extruder. For this purpose various small scale PVC pipes manufacturing industries are visited. The operation of PVC pipe extrusion is studied. The study is focused on an extrusion line starting from its electric motor, extruder hopper, barrel, extruder screw up to the extruder die. First of all the various dependent variables in form of responses and the independent variables in the form of inputs are decided. The categorization of these variables are made in terms of pi terms viz. П1 П2 П3 as independent and IID1, IID2, IID3, IID4 as dependent variables. Then the field observations are taken and accordingly data collection process is completed. After this step, an approximate, generalized field data based mathematical models are developed. This work presents an approach to check the reliability of models, which is executed by comparing error frequency graphs of various mathematical models formed. After that the influence of the various independent pi terms in the models are studied by analyzing the indices of the various pi terms. Through the technique of sensitivity analysis, the change in the value of a dependent pi term caused due to an introduced change in the value of independent individual pi term is evaluated. The ultimate objective of this work is not merely developing the mathematical models but to find out the best set of independent variables, which will result in maximization or minimization of the objective functions. This is achieved by applying the technique of optimization. Thus the objects of these models are tested

to optimize the inputs required for satisfying the various responses. The comparative analysis is made of the outputs of the network with observed data and the data calculated from the mathematical models. This modeling and simulation approach enables entrepreneur of small scale PVC pipes manufacturing industries to get system wide view obtained by deliberately making local changes in their manufacturing system. They can predict its impact on performance of their machines. With the help of the models, one can find a method to improve the productivity of the industry. The results obtained from experiments are also analyzed by the development of different polynomial mathematical models and its related graphs. Recommendations with respect to improvement in the current operation are suggested and future changes are proposed.

Keywords—Field databased mathematical modeling, sensitivity analysis, and optimization

## I. INTRODUCTION TO PVC PIPE MANUFACTURING PROCESS

The extruder can be considered as one of the core piece of machinery in the polymer processing industry. To extrude means to thrust out a polymer material in any kind of form with a desired cross section through a die. The shape of material will depend on the die opening, and it will change to some extent as it exits from the die. The extruded output is commonly referred to as the extrudate [3]. Pipe and profile production line consists (figure1) of an extruder which is equipped with a die depending on the end product and also a calibration device [8]. After the extruder, the material is run through a cooling pool, nip rolls and a cutting saw. During the manufacturing process of PVC pipes, the molten plastic from extruder is led to a

circle die towards the calibration device, where the final shape and size are determined [8].



Fig. 1: PVC pipe Manufacturing

Most extruders are equipped with screw as their main mixing component. Screw extruders are classified as single or multiple screw extruders. Single screw extruders are the most common type of extruders used in the polymer industry, because of its straight forward design, relatively low costing, and its reliability, they are most often used (Mad-dock, [4]). The extruder is a very important machine in the plastic industry, as compared to injection molding machines, they are used to produce product of continues profile. Extruder's main components are a hopper at one end from which the material to be extruded is fed, a tubular barrel, usually electrically heated; a revolving screw, ram or plunger within the barrel, and a die at the opposite end for shaping the extruded mass [5]. Extruders may be divided into three general types-single screw, twin or multiple screw, and ram-each type has several variations. The different part that makes up an extruder is reviewed below in figure 2.



Fig. 2: Component of a typical single screw extruder

A typical extrusion line (figure 3) consists of the material feed hopper, basic extruder (drive, gearbox and screws), the extrusion die, the calibration units, the hauloff, the saw (or other cutting device), and finally the treatment devices for final finishing and handling [1].





The hopper holds the raw plastic material (in either powder or granule form) and continuously feeds this into the extruder, which has a heated barrel containing the rotating screw. This screw transports the polymer to the die head and simultaneously the material is heated, mixed, pressurized and metered. At the die the polymer takes up the approximate shape of the article and is then cooled either by water or air to give the final shape. As the polymer cools it is drawn along by haul-off devices and either coiled (for soft products) or cut to length (for hard products).

# II. METHODOLOGY FOR FORMULATION OF APPROXIMATE, GENERALIZED FIELD DATA BASED MATHEMATICAL MODEL

studying any completely physical When one is phenomenon but the phenomenon is very complex to the extent that it is not possible to formulate a logic based model correlating causes and effects of such a phenomenon, then one is required to go in for the field data based models. In view of the dynamic nature of the context under investigation (which reveals complex phenomenon), it is decided that to formulate a field data based models for dependent process parameters (IID1), pipe dimensions (IID2), pipe weight (IID3) and productivity (IID4). These models are established adopting methodology of experimentation [5]. It is planned to collect the data by taking extensive observations in the process of PVC pipe extrusion process by actually visiting and PVC pipe manufacturing industries. The planning is carried out by using the classical plan of experimentation [6]. The response data is collected based on the entire generalized models. The for adopted formulating approach approximate, generalized field data based mathematical model suggested by Hilbert (1961) for any complex phenomenon involves following steps

1. Identification of independent and dependent variables or quantities.

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- 2. Reduction of independent variables adopting dimensional analysis.
- 3. Formulation of the model.
- 4. Reliability of the model.
- 5. Model optimization.
- 6. Sensitivity Analysis of the models.

# **Identification of variables**

The term variables are used in a very general sense to apply any physical quantity that undergoes change. If a physical quantity can be changed independent of the other quantities, then it is an independent variable. If a physical quantity changes in response to the variation of one or more number of independent variables, then it is termed as dependent or response variable. The dependent or the response variables in this case are: Dependent process parameters symbolically represented as IID1, PVC pipe dimensions symbolically represented as IID2, Weight of the PVC pipes symbolically represented as IID3, Productivity symbol symbolically represented as IID4. There are many independent variables involved in this system. grouped in as: variables related with electric motor used symbolically represented as  $\Pi 1$ , specifications of the extruder machine symbolically represented as  $\Pi 2$ , quantity and quality of the raw material related used symbolically represented as  $\Pi 3$ .

Table 1: Independent variables related with electric motor

Sr	Cod	Name of	MLT	Туре	Remar
	e	the	indice	of	k
Ν		independe	s	variable	
0		nt			
		variables			
1	C1	Motor	$M^{1}L^{2}$	Independe	
		Power	T <sup>-3</sup>	nt	Electric
		(HP)			motor
2	C2	weight of	$M^1 L^0$	Independe	related
		the electric	$T^0$	nt	variabl
		motor			es (Π1)
3	C3	Distance of	$M^0 L^1$	Independe	
		electric	$T^0$	nt	
		motor from			
		the			
		extruder			
		machine			
4	C4	Motor	$M0L^1$	Independe	
		speed	$T^{-1}$	nt	
		(RPM)			
5	C5	Torque (N-	$M^1 L^2$	Independe	
		m)	T <sup>-2</sup>	nt	
6	C6	Acceleratio	$M^0 L^1$	Independe	
		n due to	T <sup>-2</sup>	nt	

m/s <sup>2</sup>	gravity $m/c^2$
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 Table 2: Independent variables related with specifications
 of the extruder machines

S	Cod	Name of the	ML	Туре	Remar
r.	e	independent	Т	of	k
Ν		variables	indic	variab	
0			es	le	
1	A1	Extruder	$M^0$	Indepe	
		machine length	$L^1 T^0$	ndent	Extrude
		(mm)			r
2	A2	Extruder	$M^0$	Indepe	machin
		machine width	$L^1 T^0$	ndent	e
		(mm)			specific
3	A3	Extruder	$M^0$	Indepe	ations
		machine height	$L^1 T^0$	ndent	(П2)
		(mm)			
4	A4	Barrel centerline	$M^0$	Indepe	
		fr. floor (mm)	$L^1 T^0$	ndent	
5	A5	Hopper capacity	$M^1$	Indepe	
		(kg)	$L^0 T^0$	ndent	
6	A6	Hopper height	$M^0$	Indepe	
		(mm)	$L^1 T^0$	ndent	
7	A7	Screw outside	$M^0$	Indepe	
		diameter (mm)	$L^1 T^0$	ndent	
8	A8	Screw inner	$M^0$	Indepe	
		diameter (mm)	$L^1 T^0$	ndent	
9	A9	Screw pitch	$M^0$	Indepe	
		(mm)	$L^1 T^0$	ndent	
1	A10	Barrel length	$M^0$	Indepe	
0		(mm)	$L^1 T^0$	ndent	
1	A11	Barrel diameter	$M^0$	Indepe	
1		(mm)	$L^1 T^0$	ndent	
1	A12	Weight of the	$M^0$	Indepe	
2		extruder m/c (kg)	$L^1 T^0$	ndent	
1	A13	Die diameter or	$M^0$	Indepe	
3		size (mm)	$L^1 T^0$	ndent	
1	A14	Die length (mm)	$M^0$	Indepe	
4			$L^1 T^0$	ndent	

Sr.N	Cod	Name of	MLT	Туре	Remar
0	e	the	indic	of	k
		independe	es	variable	
		nt			
		variables			
1	W1	Resin	$M^1 L^0$	Independ	
		wastage	$T^0$	ent	
		(kg)			
2	W2	Dust (kg)	$M^1 L^0$	Independ	Raw
			$T^0$	ent	materia

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3	W3	filter (gm)	$M^1 L^0$	Independ	1
			$T^0$	ent	related
4	W4	Chemical $M^1 L^0$ Indepe		Independ	variabl
		wax (kg)	$T^0$	ent	es or
5	W5	TBLS	$M^1 L^0$	Independ	data
		powder	$T^0$	ent	(ПЗ)
		(gm)			
6	W6	Steric acid	$M^1 L^0$	Independ	
		(gm)	$T^0$	ent	
7	W7	Wastage	$M^0 L^1$	Independ	
		raw mat.	$T^0$	ent	
		size (mm)			
8	W8	Powder	$M^0 L^1$	Independ	
		size (mm)	$T^0$	ent	
9	W9	Filter	$M^0 L^1$	Independ	
		material	$T^0$	ent	
		size (mm)			

Table 4: Dependent vari	ables related	process
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	parameters									
Sr.N	Cod	Name of	MLT	Туре	Remark					
0	е	the	indic	of						
		independ	es	variable						
		ent								
		variables								
1	D1	Screw	$M^0L^1$	Depende						
		speed	$T^{-1}$	nt	Depende					
		(RPM)			nt					
2	D2	Melt	M L <sup>-1</sup>	Depende	process					
		viscosity	T-1	nt	paramet					
		$(N-s/m^2)$			ers					
3	D3	Melt	M L <sup>-3</sup>	Depende	(ПD1)					
		density		nt						
		$(kg/m^3)$								
4	D4	Extruder	M L <sup>-1</sup>	Depende						
		pressure	T <sup>-2</sup>	nt						
		(MPA)								
5	D5	Die	M L <sup>-1</sup>	Depende						
		pressure	T <sup>-2</sup>	nt						
		(MPA)								
6	D6	Extruder	$M^0 L^0$	Depende						
		temperatur	$T^0$	nt						
		e ( <sup>0</sup> C)								
	D7	Die	$M^0 L^0$	Depende						
		temperatur	$T^0$	nt						
		$e^{(0)}C$								

Table 5: Dependent variables related with PVC pipe

dimensions							
Sr.N	Cod	Name	of	MLT	Туре	Remark	
0	e	the		indic	of		

		independ	es	variable	
		ent			
		variables			
1	P1	Pipe	$M^0$	Depend	Pipe
		diameter	$L^1 T^0$	ent	dimensio
		(mm)			ns.
2	P2	Pipe wall	$M^0$	Depend	(ПD2)
		thickness	$L^1 T^0$	ent	
		(mm)			

Table 6:	Dependent	variables	related	with	weight	of PVC
10000 01	2 op en aren	10111010100				0,1,0

pipe							
Sr.N	Cod	Name of	MLT	Туре	Remar		
0	е	the	indic	of	k		
		independe	es	variable			
		nt					
		variables					
1	Y1	Pipe	$M^1 L^0$	Depende	Pipe		
		weight	$T^0$	nt	weight		
		(kg)			(ПD3)		

Table 7: Dependent variables related with productivity

Sr.N	Cod	Name of	MLT	Туре	Remark
0	e	the	indic	of	
		independ	es	variable	
		ent			
		variables			
1	Y1	Processin	$M^0$	Depend	productiv
		g time	$L^0 T^1$	ent	ity (IID4)
		(sec)			
2	T2	Productivi	$M^0$	Depend	
		ty	$L^0 T^0$	ent	

## Reduction of variables using dimensional analysis

Dimensional analysis was carried out to established dimensional equations, exhibiting relationships between dependent  $\Pi$  terms and independent  $\Pi$  terms using Buckingham  $\Pi$  theorem. Dimensional analysis can be used primarily as an experimental tool to combine many experimental variables into one [1]. The various independent and dependent variables of the system with their symbols and dimensional formulae are given in nomenclature. There are several quite simple ways in which a given test can be made compact in operating plan without loss in generality or control. The best known and the most powerful of these is dimensional analysis. In the past dimensional analysis was primarily used as an experimental tool whereby several experimental variables could be combined to form one. The field of fluid mechanics and heat transfer were greatly benefited from the application of this tool [3]. Almost every major experiment in this area was planned with its help. Using this principle modern experiments can substantially improve their working techniques and be made shorter requiring less time without loss of control. Deducing the dimensional equation for a phenomenon reduces the number of independent variables in the experiments [4]. The exact mathematical form of this dimensional equation is the targeted model. This is achieved by applying Buckingham's  $\Pi$  theorem [5] [6]. Following table summarizes reduction of variables into dimensionless pi terms by using technique of dimensional analysis.

Table 8:	Reduction	of indepe	endent and	l dependent
	variable	es into pi	(П) terms	

Pi	Code	Description of	Pi term equation
term	Coue	Pi terms	r r term equation
П1	C6	Acceleration	
111	0	due to gravity	C6 x C3 x C2
		mm/s?	$\Pi 1 = \frac{CO \times CO \times CD}{\sigma r}$
	C3	Distance of	<u>C5</u>
	05	electric motor	
		from the	
		extruder	
		machine	
	$C^2$	Mass or weight	
	02	of the electric	
		motor (kg)	
	C5	Torque on	
	05	electric motor	
		(N-mm)	
П2	A12	Weight of	
		extruder	H2 A12 A1 A3
		machine (kg)	$\Pi Z = \frac{1}{A5} \times \frac{1}{A2} \times \frac{1}{A4}$
	A5	Hopper	
		capacity (kg)	$x \frac{A7}{A2} \times \frac{A6}{A2}$
	A1	Extruder	A0 A7
		machine length	A10 🚬 A14
		(mm)	$\frac{X}{A11} \times \frac{X}{A13}$
	A2	Extruder	
		machine width	
		(mm)	
	A3	Extruder	
		machine height	
		(mm)	
	A4	Barrel	
		centerline from	
		floor (mm)	

	A7	Screw outside	
		diameter (mm)	
	A8	Screw inside	
		diameter (mm)	
	A6	Hopper height	-
	110	(mm)	
	Δ9	Screw nitch	
	л <i>у</i>	(mm)	
	A 10	(IIIII) Derrel length	-
	AIU	barrer lengui	
	A 1 1		
	AII	Barrel diameter	
		(mm)	
	A14	Die length	
		(mm)	
	A13	Die diameter or	
		size (mm)	
П3	W1	Resin wastage	
		(kg)	
	W2	Dust (kg)	$\Pi 3 = \frac{W9}{X} x$
	W3	Filter (gm))	W7
	W4	Chemical wax	$\frac{W}{W} \times \frac{W}{W}$
		(gm)	
	W5	TBLS powder	W3 W1
		(gm)	$x \frac{1}{W4} \times \frac{1}{W2}$
	W6	Steric acid (gm)	
	W7	Wastage raw	
		material size	
		(mm)	
	W8	Powder size	-
		(mm)	
	W9	Filter material	-
	,	size (mm)	
ПD1	D1	Screw speed	
		(m/s)	D4 D1 D2
	D2	(III/S) Molt vigoogity	$\Pi D1 = \frac{1}{D1} \times \frac{1}{D5} \times \frac{1}{D3}$
	$D_2$	(N s/m <sup>2</sup> ) or	
		$(IN-S/III2)$ or $(Irg/m_s)$	$\sqrt{\frac{D3}{\sqrt{D7}}}$
	D2	(kg/III-S)	^ <u>D2</u> ^ <u>D6</u>
	D3	Melt density	
	54	(kg/m3)	
	D4	Extruder	
		pressure	
		(kg/ms2)	-
	D5	Die pressure	
		(kg/ms2)	_
	D6	Extruder	
		temperature	
		(0C)	
	D7	Die temperature	
		(0C)	
	D8	Pipe diameter	
		or size (mm)	

	D9	Pipe wall	
		thickness (mm)	
	D10	Pipe weight	
		(kg)	
	D11	Processing time	
		(sec)	
	D12	Productivity	
ПD2	P1	Pipe diameter	$\Pi D2 = \frac{P1}{P1}$
		(mm)	P2
	P2	Pipe wall	
		thickness (mm)	
ПD3	Y1	Pipe weight	ПD3 = Y1
		(kg)	
	Y2	Processing time	
		(sec)	
ПD4	T2	Productivity	$\Pi D4 = \frac{Y1}{Y2} X Y2/Y1$

The main purpose of this technique is making experimentation shorter without loss of control. As per dimensional analysis [1], response variables dependent process parameters ( $\Pi D1$ ), pipe dimensions ( $\Pi D2$ ), pipe weight ( $\Pi D3$ ) and productivity ( $\Pi D4$ ) are written in the function form as:

IID1 = f (C1, C2, C3, C4, C5, C6, A1, A2, A3, A4, A5, A6, A7, A8, A9, A10, A11, A12, A13, A14, W1, W2, W3, W4, W5, W6, W7, W8, W9) ------(1)

IID2 = f (C1, C2, C3, C4, C5, C6, A1, A2, A3, A4, A5, A6, A7, A8, A9, A10, A11, A12, A13, A14, W1, W2, W3, W4, W5, W6, W7, W8, W9) ------ (2)

IID3 = f (C1, C2, C3, C4, C5, C6, A1, A2, A3, A4, A5, A6, A7, A8, A9, A10, A11, A12, A13, A14, W1, W2, W3, W4, W5, W6, W7, W8, W9) ------- (3)

IID4 = f (C1, C2, C3, C4, C5, C6, A1, A2, A3, A4, A5, A6, A7, A8, A9, A10, A11, A12, A13, A14, W1, W2, W3, W4, W5, W6, W7, W8, W9) ------ (4)

Three independent pi terms ( $\Pi 1$ ,  $\Pi 2$ ,  $\Pi 3$ ,) and four dependent pi terms ( $\Pi D1$ ,  $\Pi D2$ ,  $\Pi D3$ ,  $\Pi D4$ ,) have been in the design of experimentation and are available for the model formulation. Independent  $\Pi$  terms = ( $\Pi 1$ ,  $\Pi 2$ ,  $\Pi 3$ ), Dependent  $\Pi$  terms = ( $\Pi D1$ ,  $\Pi D2$ ,  $\Pi D3$ ,  $\Pi D4$ ,). Each dependent  $\Pi$  is assumed to be function of the available independent  $\Pi$  terms, For Process Parameters ( $\Pi D1$ ),  $\Pi D1 = k1 x (\Pi 1)^{a1} x (\Pi 2)^{b1} x (\Pi 3)^{c1}$  --------(5)

For Pipe Dimensions ( $\Pi D2$ ),  $\Pi D2 = K2 \times (\Pi 2)^{a2} \times (\Pi 2)^{b2} \times (\Pi 3)^{c2}$  ------ (6)

For Pipe Weight ( $\Pi$ D3),  $\Pi$ D3 = k3 x ( $\Pi$ 3) <sup>a3</sup> x ( $\Pi$ 3) <sup>b3</sup> x ( $\Pi$ 3) <sup>c3</sup> ------(7)

For Productivity ( $\Pi$ D4),  $\Pi$ D4 = k4 x ( $\Pi$ 4) <sup>a4</sup> x ( $\Pi$ 4) <sup>b4</sup> x ( $\Pi$ 4) <sup>c4</sup> ------- (8)

Procedure for developments of the model for dependent pi term ΠD1 in general form

 $\Pi D1 = k1 \ x \ (\Pi 1)^{a1} \ x \ (\Pi 2)^{b1} \ x \ (\Pi 3)^{c1}$ 

Taking log on the both sides of equation for  $\Pi D1$ , getting eight unknown terms in the equations,

Let, Z1= log  $\Pi$ Z1, K1 = log k1, A = log  $\Pi$ 1, B = log  $\Pi$ 2 C = log  $\Pi$ 3

Putting the values in equations 9, the same can be written as

Z1 = K1 + a1 A + b1 B + c1 C ---- (10)

Equation 9 is a regression equation of Z on A, B, and C in an n dimensional co-ordinate system.

This represents a regression hyper plane [7]. To determine the regression hyper plane, determines a1, b1, c1, d1, e1 and f1 in equation 9 so that

 $\sum Z1 = nK1 + a1^* \sum A + b1^* \sum B + c1^* \sum C$ 

$$\begin{split} & \sum Z1^*A = K1^*\sum A + a1^*\sum A^*A + b1^*\sum B^*A + c1^*\sum C^*A \\ & \sum Z1^*B = K1^*\sum B + a1^*\sum A^*B + b1^*\sum B^*B + c1^*\sum C^*B \\ & \sum Z1^*C = K1^*\sum C + a1^*\sum A^*C + b1^*\sum B^*C + c1^*\sum C^*C \end{split}$$

In the above set of equations the values of the multipliers K1, a1, b1, c1 are substituted to compute the values of the unknowns (viz. K1, a1, b1, and c1). The values of the terms on L H S and the multipliers of K1, a1, b1, and c1 in the set of equations are calculated. After substituting these values in the above equations one will get a set of 4 equations, which are to be solved simultaneously to get the values of K1, a1, b1, c1,. The above equations can be verified in the matrix form and further values of K1, a1, b1, c1, can be obtained by using matrix analysis.

 $X1 = inv (W) \times P1 ----- (11)$ 

The matrix method of solving these equations using 'MATLAB' is given below. W = 4 x 4 matrix of the multipliers of K1, a1, b1, c1, d1, e1, f1 and g1 P1 = 4 x 1 matrix of the terms on L H S and X1 = 4 x 1 matrix of solutions of values of K1, a1, b1, and c1 Then, the matrix obtained is given by, matrix

	1		n	A	B	C		$K_1$
7	A		A	$A^2$	BA	CA		$a_1$
$Z_1 x$	B	3 <del>80</del>	B	AB	$B^2$	CB	x	$b_1$
	C		С	AC	BC	$C^2$		$c_1$

Development of model for dependent pi term IID1 in clubbed Form

In this type of model all the Pi terms i.e. $\pi_1, \pi_2, \pi_3$  are multiplied (clubbed) together and then using regression analysis mathematical model is formed.

The mathematical clubbed model is shown below. For the dependent pi term ΠD1, we have,

 $\Pi D1 = f (\Pi 1^* \Pi 2^* \Pi 3)^{a1}$ , Where 'f' stands for "function of" and a probable exact mathematical form for this phenomenon could be

 $\Pi D1 = K1 * (\Pi 1 * \Pi 2 * \Pi 3)^{a1} - \dots (1)$ 

The same procedure as mentioned above is adopted for response variables and after solving this matrix in MATLAB we can get the mathematical model

Development of models for dependent pi term in various combination forms as

In this type of model all the Pi terms i.e.  $\pi_1, \pi_2, \pi_3$  are taken together in various combinations and

multiplied (clubbed) together and then using regression analysis mathematical model is formed.

Model -1,  $\Pi D1 = f (\Pi 1 * \Pi 2 / \Pi 3)^{a}$ Model -2,  $\Pi D1 = f (\Pi 2 * \Pi 3 / \Pi 1)^{a}$ 

Model -3,  $\Pi D1 = f (\Pi 1 * \Pi 3 / \Pi 2)^{a}$ 

There are two unknown terms in the equation (1), viz. constant of proportionality K1 and indices a1. It is decided to solve this problem by curve fitting technique. The same procedure as mentioned above is adopted for response variables and after solving this matrix in MATLAB we can get the mathematical model. The various models developed for all the response variables are shown in following table.

Table 9: Models developed for dependent pi term ПD1

Sr	Forms	Mathematical Equation of the model
No	of models	
1	General Form	$(\pi_{D1}) = 23.77935114.\{(\pi_1)^{0.4389}.(\pi_2)^{-0.7474}.(\pi_3)^{0.4155}\}$
2	Clubbed Model	$(\pi_{D1}) = 1.41.\{(\pi_1.\pi_2.\pi_3)^{0.0494}\}$
3	Combination	$(\pi_1,\pi_2)^{-0.4237}$
	Model 1	$(\pi_{D1}) = 1559.19.\left\{\left(\frac{\pi_1\pi_2}{\pi_3}\right)\right\}$
4	Combination	$(\pi_1,\pi_2)^{0.0507}$
	Model 2	$(\pi_{D1}) = 3.44 \left\{ \left( \frac{1}{\pi_3} \right) \right\}$
5	Combination	$(\pi_1,\pi_3)^{0.2231}$
	Model 3	$(\pi_{D1}) = 1.79\{(\frac{1}{\pi_2})\}$

Table 10: Models developed for dependent pi term IID2

Sr	Forms	Mathematical Equation of the model
No	of models	
1	General Form	$(\pi_{D2}) = 4.87E + 01.\{(\pi_1)^{0.4416}.(\pi_2)^{-0.4445}.(\pi_3)^{0.1504}\}$
2	Clubbed Model	$(\pi_{D2}) = 5.59E + 01.\{(\pi_1, \pi_2, \pi_3)^{-0.0017}\}$
3	Combination Model	$(\pi_{D2}) = 2.45\text{E} + 02.\left\{\left(\frac{\pi_1 \cdot \pi_2}{\pi_3}\right)^{-0.1147}\right\}$
4	Combination Model 2	$(\pi_{D2}) = 6.08E + 01\{\left(\frac{\pi_1 \cdot \pi_2}{\pi_3}\right)^{-0.0136}$
5	Combination Model 3	$(\pi_{D2}) = 3.83E + 01\{\left(\frac{\pi_1 \cdot \pi_3}{\pi_2}\right)^{0.0654}$

Table 11:	Models develo	oped for deper	ıdent pi term ПD3
10000 111	112000000 0000000	peager acper	<i>cacini p i i c i i i i i c c i c i c i c i c i c i c i c i c i c i c i c i c i c i c i c i c i c c i c c c c c c c c c c</i>

Sr	Forms	Mathematical Equation of the model
No	of models	
1	General Form	$(\pi_{D3}) = 3.15E + 00.\{(\pi_1)^{0.6958}.(\pi_2)^{-0.8655}.(\pi_3)^{0.5223}\}$

2	Clubbed Model	$(\pi_{D3}) = 2.42E - 01.\{(\pi_1.\pi_2.\pi_3)^{0.1037}\}$
3	Combination Model 1	$(\pi_{D3}) = 1.64\text{E} + 04.\left\{\left(\frac{\pi_1.\pi_2}{\pi_3}\right)^{-0.6176}\right\}$
4	Combination Model 2	$(\pi_{D3}) = 1.48E + 00.\left\{\left(\frac{\pi_2.\pi_3}{\pi_1}\right)^{0.1159}\right\}$
5	Combination Model 3	$(\pi_{D3}) = 6.72E - 01.\left\{\left(\frac{\pi_1 \cdot \pi_3}{\pi_2}\right)^{0.3706}$

## *Table 12: Models developed for dependent pi term IID4*

Sr	Forms	Mathematical Equation of the model
No	of models	
1	General Form	$(\pi_{\mathbf{D4}}) = 4.33\mathrm{E} - 01.\{(\pi_1)^{-0.0572}.(\pi_2)^{0.0948}.(\pi_3)^{-0.3847}\}$
2	Clubbed Model	$(\pi_{D4}) = 9.40\text{E} + 00.\{(\pi_1.\pi_2.\pi_3)^{-0.1911}\}$
3	Combination	$(\pi_1, \pi_2)^{-0.6176}$
	Model 1	$(\pi_{D4}) = 4.96E - 06. \left\{ \left( \frac{1}{\pi_3} \right) \right\}$
4	Combination Model 2	$(\pi_{D4}) = 4.77E - 01.\left\{\left(\frac{\pi_2.\pi_3}{\pi_1}\right)^{-0.2474}\right\}$
5	Combination Model 3	$(\pi_{D4}) = 4.63E - 01.\left\{\left(\frac{\pi_1.\pi_3}{\pi_2}\right)^{-0.4556}\right\}$

**Co-efficient of determination for all response variables** This is a statistical method that explains how much of the variability of a factor can be caused or explained by its relationship to another factor. Coefficient of determination is used in trend analysis. It is computed as a value between 0 (0 percent) and 1 (100 percent). Higher the value; better is the fit. Coefficient of determination is symbolized by  $r^2$  because it is square of the coefficient of correlation symbolized by r. The coefficient of determination is an important tool in determining the degree of linear-correlation of variables ('goodness of fit') in regression analysis and also called r-square. It is calculated using relation shown below:

 $R^2 = 1 - \sum Yi - fi)^2 / \sum (Yi - Y)^2 \dots (1)$ 

Where, Yi= Observed value of dependent variable for i<sup>th</sup> Experimental sets (Experimental data), fi = Observed value of dependent variable for i<sup>th</sup> predicted value sets (Model data), Y= Mean of Yi and  $R^2$  = Coefficient of Determination. Following tables shows these calculations. Following table gives the values of coefficient of determination of all the models.

Response Variable	Value of R <sup>2</sup> for General Model	Value of R <sup>2</sup> for Clubbed Model	Value of R <sup>2</sup> for various forms of Model		
	$(\boldsymbol{\pi}_{\mathrm{D}}) = \mathbf{k} \mathbf{x} (\boldsymbol{\pi}_{\mathrm{I}})^{\mathrm{a}} \mathbf{x}$	$(\boldsymbol{\pi}_{\mathrm{D}}) = \mathbf{k}\mathbf{x} \ (\boldsymbol{\pi}_{1\mathrm{x}}\boldsymbol{\pi}_{2\mathrm{x}}\boldsymbol{\pi}_{3})$	$(\pi_{\rm D}) = \mathbf{k}\mathbf{x}$ $(\pi_{\rm D}) = \mathbf{k}\mathbf{x}$		$(\pi_{\rm D}) = \mathbf{k}\mathbf{x}$
	$(\boldsymbol{\pi}_2)^{\mathbf{b}}\mathbf{x} \ (\boldsymbol{\pi}_3)^{\mathbf{c}}$		$(\pi_{1x}\pi_2/\pi_3)$	$(\pi_{2x}\pi_{3}/\pi_{1})$	$(\pi_{1x}\pi_3/\pi_2)$
ПD1	0.997372	0.97811	0.983866	0.971888	0.988601
ПD2	0.9891	0.982517	0.983513	0.980564	0.986903
ПD3	0.9917	0.942953	0.949759	0.931199	0.979912
ПD4	0.9861	0.982915	0.94785	0.979408	0.977572

Table 13	Co-efficient	t of deter	nination	for a	ll models
Tuble 15.	CO-ejjicieni	i oj ueien	nination	jor ai	<i>i</i> mouers

From the calculations of all the value of  $R^2$  for all the models above, it is clear that for value of  $R^2$  of general models are nearer to 1 than clubbed; combined forms of models. Also from the table above it is found that values of general models indicates a nearly perfect fit, and therefore, these models are supposed to be a reliable model for future forecasts. Hence the reliable models from the calculations of co-efficient of determinations are

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(4)  $(\pi_{D4}) = 4.33E - 01.\{(\pi_1)^{-0.0E72}, (\pi_2)^{0.0948}, (\pi_3)^{-0.0E72}\}$  model having R2 0.9861

## Comparison between actual values and values obtained by models

Computed values based on above sited mathematical model could be readily possible by just putting the values of corresponding Pi terms. The graphical representation between the actual values of dependent terms and values obtained by model with coefficient of determination are shown in comparative form as below.



Figure 4: dependent variable IID1 experimental vs.  $\Pi D1$  model having  $R^2$  0.997372

70 60

50

40

30

20 10

0

#### **Determination of Reliability of Models**

Reliability of model is established using relation Reliability =100-% mean error and Mean error =  $[(\sum xi^*fi)/\sum xi]$  where, xi is % error and fi is frequency of occurrence. Therefore the reliability of General model and Clubbed Model are equal to % and % respectively. Following table shows the reliability evaluated for all models mentioned above.

Table 14: Reliability of the models

Response	Reliability for			
Variable	General Model			
	$(\pi_{\rm D}) = k x (\pi_1)^a x$			
	$(\pi_2)^b x (\pi_3)^c$			
ПD1	96.5			
ПD2	92.5			
ПD3	93.375			
ПD4	89			





Fig. 9: Graph between % of Error and frequency occurrence of error for model ПD2



Fig. 10: Graph between % of Error and frequency occurrence of error for general ID3



Fig 11: Graph between % of Error and frequency occurrence of error for general ID4

## Estimation of limiting values of response variables

The ultimate objective of this work is to find out best set of variables, which will result in maximization or minimization of the response variables. In this section attempt is made to find out the limiting values of response variables. To achieve this, limiting values of independent  $\pi$  term viz.  $\pi 1$ ,  $\pi 2$ ,  $\pi 3$  are put in the respective models.. The limiting values of these response variables are compute for PVC pipe manufacturing is as given in table.

<i>Table 15: Limiting</i>	Values of	of Response	Variables
---------------------------	-----------	-------------	-----------

Max	<b>PVC Pipe Extrusion Operation</b>							
and	For	For	For	For				
Min. of	Process	Pipe	Pipe	Producti				
Respo	Paramet	Dimensi	Weight	vity				
nse π	ers ons		(ПD3)	(П <b>D</b> 4)				
terms	(ПD1)	( <b>ПD2</b> )						
Maxim	18.04018	105.6015	19.59909	0.076940				
um	475	922	588	501				
Minim	1.977707	31.20033	1.227949	0.028114				
um	371	668	84	943				

#### **Model Optimization:**

Four mathematical models have been developed for the phenomenon. The ultimate objective of this work is not merely developing the models but to find out best set of independent variables, which will result in maximization or minimization of the objective functions. This can be achieved by taking the log of both the sides of the model. The linear programming technique is applied which is detailed as below.

 $\Pi D1 = k1 x (\Pi 1)^{a1} x (\Pi 2)^{b2} x (\Pi 3)^{c1}$ 

Taking log of both the sides of the Equation, one has Log  $\Pi D1 = \log k1 \ge 1 \log (\Pi 1) + b2 \log (\Pi 2) + c1 \log (\Pi 3)$ 

Log  $\Pi D1 = Z$ , log k1 = k1, log ( $\Pi 1$ ) = X1, log ( $\Pi 2$ ) = X2, log ( $\Pi 3$ ) = X3

Then the linear model in the form of first degree polynomial can be written as under

 $Z = K + a \ge X_1 + b \ge X_2 + c \ge X_3$ 

Thus, Equation constitutes for the optimization or to be very specific for maximization for the purpose of formulation of the problem. The constraints can be the boundaries defined for the various independent pi terms involved in the function. During the experimentation the ranges for each independent pi terms have been defined, so that there will be two constraints for each independent variable as under. If one denotes maximum and minimum values of a dependent pi term  $\Pi D1$  by  $\Pi D1_{max}$  and  $\Pi D1_{min}$  respectively then the first two constraints for the problem will be obtained by taking log of these quantities and by substituting the values of multipliers of all other variables except the one under consideration equal to zero. Let the log of the limits be defined, as  $C_1$  and  $C_2$ {i.e.  $C_1 = \log (\Pi D1_{max})$  and  $C_2 = \log (\Pi D1_{min})$ . Thus, the Equations of the constraints will be as under.

$$1 x X_1 + 0 x X_2 + 0 x X_3 \le C1$$

 $1 \ge X_1 + 0 \ge X_2 + 0 \ge X_3 \ge C2$ 

The other constraints can be likewise found as under

- $0 \ge X_1 + 1 \ge X_2 + 0 \ge X_3 \le C3$
- $0 \ge X_1 + 1 \ge X_2 + 0 \ge X_3 \ge C4$
- $0 \ x \ X_1 + 0 \ x \ X_2 + 1 \ x \ X_3 \leq C5$
- $0 \ge X_1 + 0 \ge X_2 + 1 \ge X_3 \ge C6$

After solving this linear programming problem one gets the minimum value of Z. The values of the independent pi terms can then be obtained by finding the antilog of the values of Z, X1, X2, and X3. The actual values of the multipliers and the variables are found. This can be solved as a linear programming problem using the MS Solver available in MS Excel. In this case there is model corresponding to PVC pipes extrusion process. These models have nonlinear form; hence it is to be converted into a linear form for optimization purpose. This can be achieved by taking the log of both the sides of the model and the linear programming technique is applied. On solving the above problem by using MS solver we get values of X<sub>1</sub>, X2, X3, and Z. Thus  $\Pi_{D1min}$  = Antilog of Z and corresponding to this value of the  $\Pi_{D1min}$  the values of the independent pi terms are obtained by taking the antilog of  $X_1, X_2, X_3$  and Z. Similar procedure is adopted to optimize the models for  $\Pi_{D2}$ ,  $\Pi_{D3}$ ,  $\Pi_{D4}$ , the optimized Page | 20

values of  $\Pi_{D1}$ ,  $\Pi_{D2}$ ,  $\Pi_{D3}$ ,  $\Pi_{D4}$ , are tabulated in the following

For Process Parameters (IID1) min For Pipe Dimensions (IID2)max Log values of Antilog of  $\pi$  terms Log values of Antilog of  $\pi$  terms  $\pi$  terms  $\pi$  terms 0.296162032 1.977707 Ζ 2.023670466 105.6015921 3.905218393 8039.30291 4.183706782 15265.35054  $X_1$  $X_2$ 5.213337061 163431.9872 4.619807134 41668.4297 4000  $X_3$ 2.653212514 450 3.602059991 For Pipe Weight (IID3) min For Productivity (IID4) max Log values of Log values of Antilog of  $\pi$  terms Antilog of  $\pi$  terms  $\pi$  terms  $\pi$  terms 0.089180627 1.22794984 Ζ -1.113844993 0.0769405  $X_1$ 3.905218393 8039.30291 3.905218393 8039.30291  $X_2$ 5.213337061 163431.9872 5.213337061 163431.9872  $X_3$ 2.653212514 450 2.653212514 450

Table	16: (	<b>Ontimize</b>	values o	of res	nonse	variables	for	<b>PVC</b>	nir	ne extrusion	process
Iunic	10. 0	sprimize	vaines (	<i>i j i c</i> s	ponse	variables	jor	1,00	P'P		i process

table.

## **Sensitivity Analysis**

The influence of the various independent  $\pi$  terms has been studied by analyzing the indices of the various  $\pi$ terms in the models. The technique of sensitivity analysis, the change in the value of a dependent  $\pi$  term caused due to an introduced change in the value of individual  $\pi$  term is evaluated. In this case, of change of  $\pm$  10 % is introduced in the individual independent  $\pi$  term independently (one at a time). Thus, total range of the introduced change is  $\pm$  20 %. The effect of this introduced change on the change in the value of the dependent  $\pi$  term is evaluated .The average values of the change in the dependent  $\pi$  term due to the introduced change of  $\pm 10$  % in each independent  $\pi$  term. This defines sensitivity. Nature of variation in response variables due to increase in the values of independent pi terms is given in following figure.



Fig. 12: Sensitivity analysis of IID1 model



Fig. 13: Sensitivity analysis of IID2 model



Fig. 14: Sensitivity analysis of IID3 model



Fig. 15: Sensitivity analysis of IID4 model

#### III. CONCLUSION

The present paper gave an illustration of how dimensional analysis (DA) can be applied to significantly reduce the number of independent variables used to optimize the dependent process parameters of the extruder machines, pipe dimensions, pipe weights and the productivity as response variable using field data based mathematical modeling. Using dimensional analysis 29 around number of independent variables has been reduced to 03 dimensionless pi terms and around 14 number of dependent pi terms into 04 dimensionless pi terms. This can greatly help in formulation of approximate, generalized field data based mathematical models in easier manner. Thus in this way the dimensional equations established in reduced or compact mode made the complete experimentation process less time taking having generation of optimum data. The conclusion in the form of interpretation of model is being reported in terms of several aspects viz. (1) Order of influence of various inputs (causes) on outputs (effects) (2) Relative influence of causes on effect (3) Interpretation of curve fitting constant K. The value of curve fitting constant in the model for (IID1) is 23.77. This collectively represents the combined effect of all variables as variables related with electric motors, specifications of the extruder machine and quantity of the raw materials used. Further, as it is positive, this indicates that these causes have strong influence on the dependent process parameters of the extruders ( $\Pi$ D1). The absolute index of  $\pi$ 1 is the highest Viz. 0.4389. Thus, this is term related to specifications related with electric motor which is the most influencing  $\pi$  term in this model. The absolute index of  $\pi$ 3 is 0.4155, which is related with quantity of raw material used. This is very close to absolute index of  $\pi 1$  0.4389. This indicates that pi term  $\pi 3$  is also very much influencing term in this model. The absolute index of  $\pi 2$  is negative viz. - 0.7474. This pi term is related with specifications of the extruder machines. This negative index indicating that dependent process parameters are inversely proportional to specifications of the extruder machines. The value of curve fitting constant in the model for  $(\Pi D2)$ is 4.87E + 01. This collectively represents the combined effect of all variables such variables related with electric motors, specifications of the extruder machine and quantity of the raw materials used. Further, as it is positive, this indicates that these causes have strong influence on the dependent process parameters of the extruders (IID2). The absolute index of  $\pi 1$  is the highest Viz. 0.44416. Thus, this is term related to specifications related with electric motor which is the most influencing

 $\pi$  term in this model. The absolute index of  $\pi$ 3 is 0.15404, which is related with quantity of raw material used. This indicates that pi term  $\pi 3$  is less influencing term than  $\pi 1$ in this model. The absolute index of  $\pi 2$  is negative viz. – 0.4445. This pi term is related with specifications of the extruder machines. This negative index indicating that dimensions inversely proportional pipe are to specifications of the extruder machines. The value of curve fitting constant in the model for ( $\Pi D3$ ) is 3.15E +00. This collectively represents the combined effect of all variables such variables related with electric motors, specifications of the extruder machine and quantity of the raw materials used. Further, as it is positive, this indicates that these causes have strong influence on the dependent process parameters of the extruders (IID3). The absolute index of  $\pi 1$  is the highest Viz. 0.6958. Thus, this is term related to specifications related with electric motor which is the most influencing  $\pi$  term in this model. The absolute index of  $\pi 3$  is 0.5223, which is related with quantity of raw material used. This indicates that pi term  $\pi 3$  is also very much influencing term after  $\pi 1$  in this model. The absolute index of  $\pi 2$  is negative viz. – 0.8655. This pi term is related with specifications of the extruder machines. This negative index indicating that weight of the PVC pipes are inversely proportional to specifications of the extruder machines. The value of curve fitting constant in the model for (IID4) is 4.33E - 01. This collectively represents the combined effect of all variables as variables related with electric motors, specifications of the extruder machine and quantity of the raw materials used. The absolute index of  $\pi 2$  is the highest Viz. 0.0948. Thus, this is term related to specifications related with extruder machines which is the most influencing  $\pi$  term in this model. The absolute index of  $\pi 1$  is - 0.0572 which is related with specifications of the electric motors used. This indicates that pi term  $\pi 1$  is also less influencing term after in this model. The absolute index of  $\pi 3$  is negative viz. -0.3847. This pi term is related with quantity of the raw material used. This negative index indicating that productivity of the PVC pipe manufacturing process is proportional to quantity of raw material used. Thus from these models "Intensity of interaction of inputs on deciding Response" can be predicted. The optimization methodology adopted is unique and rigorously derives the most optimum solution for field data available for PVC pipe manufacturing process.

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